

**AVATAR AIRLINES INCORPORATED**  
**DOT APPLICATION QUESTIONNAIRE**

1.) Please state your name:

Name: \_\_\_\_\_

2.) Title or Position with AVATAR AIRLINES, INC.:

\_\_\_\_\_

3.) Number and type of shares of AVATAR AIRLINES, INC. stock owned or to be acquired, if any:

shares of Common Stock

4.) Other Employment (Co. Name)

Position: \_\_\_\_\_

Business Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

5.) Are you a U.S. Citizen?

Yes \_\_\_\_\_ No \_\_\_\_\_

6.) If you are not a U.S. Citizen, please indicate nationality and current immigration status:

\_\_\_\_\_

7.) Are you currently an officer, director or major shareholder (5 percent or more of the total stock), or do you have any other significant interest in, any air carrier, foreign air carrier, common carrier, person substantially engaged in the business of aeronautics or person

whose principal business is the ownership or control of any such transportation or aeronautic activity?

Yes \_\_\_\_\_ No \_\_\_\_\_ (None other than an officer of AVATAR AIRLINES)

8.) Are you the subject of any pending legal action, or outstanding judgment, involving a claim in excess of \$5,000.00?

Yes \_\_\_\_\_ No \_\_\_\_\_

If "Yes", please provide date and amount of each judgment, and name of each judgment creditor, in the space provided in attachment A.

9.) Are you the subject of any pending legal action, or outstanding judgment, involving a claim of less than \$5,000.00?

Yes \_\_\_\_\_ No \_\_\_\_\_

If "Yes", describe each complaint, and indicate the current status or final disposition of each complaint in attachment A.

10.) Have you ever been the subject of a formal complaint regarding compliance with the Federal Aviation Act of 1958, or any order, rule, regulation or other requirement issued pursuant to the Act, during the past five (5) years?

Yes \_\_\_\_\_ No \_\_\_\_\_

If "Yes", please describe and indicate disposition or current status of each proceeding in attachment A.

11.) Have you ever been subject of an action taken by the Federal Aviation Administration (FAA), involving administrative action, civil penalties or injunctive relief, seizure of aircraft, certificate action or criminal penalties during the past ten (10) years?

Yes \_\_\_\_\_ No \_\_\_\_\_

If "Yes", please describe and indicate disposition or current status of each proceeding in attachment A.

12.) Have you ever been charged with an unfair or deceptive or anti-competitive business practices, or any fraud, felony or anti-trust violation, during the past ten (10) years?

Yes \_\_\_\_\_ No \_\_\_\_\_

If "Yes", please describe and indicate disposition or current status of each proceeding in attachment A.

13.) Have you ever been the subject of a consumer complaint proceeding during the past three (3) years?

Yes \_\_\_\_\_ No \_\_\_\_\_

If "Yes", please describe and indicate status in attachment A.

**I AFFIRM THE ABOVE STATEMENTS TO BE TRUE TO THE BEST OF MY KNOWLEDGE AND BELIEF:**

DATED: \_\_\_\_\_

\_\_\_\_\_  
**SIGNATURE**

Print Name: \_\_\_\_\_

**"EXHIBIT A" Certification**

\_\_\_\_\_ (Check if not applicable – no additional information to supply)

\_\_\_\_\_ (Check if *applicable* – provide information on the following page provided and identify the question(s) above to which the additional information pertains)

**AVATAR AIRLINES INCORPORATED  
DOT APPLICATION QUESTIONNAIRE  
EXHIBIT "A"**

Identify the Question(s) to which your explanation pertains: